

Annexure 1

**FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2022**

**Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company
(Management & Administration) Rules, 2014.**

I. REGISTRATION & OTHER DETAILS:

1	CIN	U65990GJ2019FTC115697
2	Registration Date	16/07/2019
	Name of the Company	Estee Advisors Private Limited (Formerly Estee Fincap Private Limited)
4	Category/Sub-category of the Company	Company limited by shares/ Indian Non-Government Company
5	Address of the Registered office & contact details	P05-01A, P05-01B, P05-01C, 5th Floor, Tower A, WTC Gift City, Block 51, Road 5E, Zone 5, Gift City Gandhinagar- 382355, Gujarat Ph.: 0124- 4897700 Email:umesh.kumar@esteadvisors.com
6	Whether listed company	No
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	NA

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

Sr. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the
1	Activities auxiliary to financial service activities, except insurance and pension funding	6612	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and address of the Company	CIN/GLN	Holding/ subsidiary /Associate	% of shares held
1.	Estee Holdings Inc. 374, Millburn Avenue, Suite 203E, Millburn, NJ 07041 USA +1 973 671 1560	7119483	Holding	100%
2.	Estee IFSC Private Limited Unit No. 327, 3rd Floor, Signature Tower Block- 13b, Zone-I, Gift SEZ, Gandhinagar-382355 (Gujarat)	U65990GJ2016 PTC094942	Subsidiary	100%

Umesh Kumar
Director, DIN: 01111082

Shakabh Rakyar, Director
DIN: 06404884

3.	Estee Financial Services Private Limited PO5-01A, PO5-01B, PO5-01C, 5th Floor, Tower A, WTC Gift City, Block 51, Road 5E, Zone 5, Gift City, Gandhinagar -382355, Gujarat	U51909GJ2012 PTC132017	Subsidiary	100%
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IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)
Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter									
(1) Indian									
a) Individual/HUF	-	-	-	-	-	-	-	-	-
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	-	-	-	-	-	-	-	-	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
Sub - total (A) 1	-	-	-	-	-	-	-	-	-
(2) Foreign									
a) NRI - Individuals	-	-	-	-	-	-	-	-	-
b) Other - Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	14,27,960	14,27,960	100%	-	14,27,960	14,27,960	100%	-
d) Banks / FI	-	-	-	-	-	-	-	-	-

For Estee Advisors Private Limited

For Estee Advisors Private Limited

 Umesh Kumar
 Director, DIN: 01111082

 Shalabh Rakyan, Director
 DIN: 06404884

e) Any Other....	-	-	-	-	-	-	-	-	-
Sub-total (A) (2)	-	14,27,960	14,27,960	100%	-	14,27,960	14,27,960	100%	-
Total shareholding of Promoter (A) = (A) (1) + (A) (2)	-	14,27,960	14,27,960	100%	-	14,27,960	14,27,960	100%	-
B. Public Shareholding									
1. Institutions	-	-	-	-	-	-	-	-	-
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B)(1):-	-	-	-	-	-	-	-	-	-
2. Non-Institutions									

For Esteel Advisors Private Limited

Umesh Kumar
Director, DIN: 01111082

For Esteel Advisors Private Limited

Shalabh Rakyar Director
DIN: 016404884

a) Bodies Corp.	-	-	-	-	-	-	-	-	-
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-	-	-	-	-
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c) Others (specify)	-	-	-	-	-	-	-	-	-
Non Resident Indians	-	-	-	-	-	-	-	-	-
Overseas Corporate Bodies	-	-	-	-	-	-	-	-	-
Foreign Nationals	-	-	-	-	-	-	-	-	-
Clearing Members	-	-	-	-	-	-	-	-	-
Trusts	-	-	-	-	-	-	-	-	-
Foreign Bodies - D R	-	-	-	-	-	-	-	-	-
Sub-total (B)(2):-	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-	-	-	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	14,27,960	14,27,960	100%	-	14,27,960	14,27,960	100%	-

For Estee Advisors Private Limited



Umesh Kumar

Director, DIN: 01111082

For Estee Advisors Private Limited



Shalabh Rakyan, Director

DIN: 06404884

B) Shareholding of Promoter:

S. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Estee Holdings Inc	14,27,960	100%	-	14,27,960	100%	-	-

C) Change in Promoters' Shareholding (please specify, if there is change):

S. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	Estee Holdings Inc.				
1.	At the beginning of the year	14,27,960	100%	14,27,960	100%
2.	Date wise Increase / Decrease in Promoter's Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	-	-	-	-
3.	At the end of the year	14,27,960	100%	14,27,960	100%

D) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	At the beginning of the year	-	-	-	-
2.	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	-	-	-	-
3.	At the end of the year	-	-	-	-

 Umesh Kumar
 Director, DIN: 01111082

 Shalabh Rakyan, Director
 DIN: 06404884

E) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	At the beginning of the year	-	-	-	-
2.	Date wise Increase / Decrease in Promoter's Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	-	-	-	-
3.	At the end of the year	-	-	-	-

V) INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtedness during the financial year				
* Addition	-	-	-	-
* Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtedness at the end of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

For Estee Advisors Private Limited

Umesh Kumar
Director, DIN: 01111082

For Estee Advisors Private Limited

Shalabh Rakyar, Director
DIN: 06404884

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-
A. Remuneration to Managing Director, Whole-time Directors, Directors and/or Manager:

(Amount in Rs. Thousands)

Sr. No.	Particulars of Remuneration	Name of MD/WTD/ Manager/Director			Total Amount
		Umesh Kumar Director	Shalabh Rakyan Director	Shailendra Kumar Sahu Director	
1	Gross salary	7,747.44	7,327.94	5,470.47	20,545.85
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	7,687.44	7,267.94	5,410.47	20,365.85
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	60	60	60	180
	© Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission - as % of profit - others, specify...	-	-	-	-
5	Others, (Consultancy Fees)	-	-	-	-
	Total (A)	7,747.44	7,327.94	5,470.47	20,545.85
	Ceiling as per the Act				

B. Remuneration to other Directors

(Amount in Rs. Thousands)

Sr. No.	Particulars of Remuneration				Total Amount
1	Independent Directors	-	-	-	-
	Fee for attending board committee meetings	-	-	-	-
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (1)	-	-	-	-
2	Other Non-Executive Directors	Mr. Rakesh Kumar Kaul (Merged entity)	Mr. Murlidhara Kadaba (Ceased on 22/05/2021)	Mr. Sandeep Tyagi	
	Fee for attending board / Committee meetings	90	90	-	180
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (2)	90	90	-	180
	Total (B)=(1+2)	90	90	-	180
	Total Managerial Remuneration	90	90	-	180
	Overall Ceiling as per the Act				

 Umesh Kumar
 Director, DIN: 01111082

 Shalabh Rakyan, Director
 DIN: 06404884

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD-

(Amount in Rs. Thousands)

Sr. No.	Particulars of Remuneration	Key Managerial Personnel During the Year	
		Company Secretary*	Chief Financial Officer
1	Gross salary	372.62	-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	372.62	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	© Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	- as % of profit	-	-
	Others, specify...	-	-
5	Others, please specify	-	-
	Total	372.62	-

*Mr. Jignesh Patel was appointed as the company secretary of the company from 21/05/2021 and to 15/07/2021 (Rs. 83,242/- p.a.)

* Mr. Sagar K Lakhati was appointed as Company Secretary w.e.f. 01/10/2021. (Rs. 2,89,374/-p.a.)

For Estee Advisors Private Limited


 Umesh Kumar
 Director, DIN: 01111082

For Estee Advisors Private Limited


 Shalabh Rakyan, Director
 DIN: 06404884

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: NOT APPLICABLE

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

For and on behalf of the Board of Directors
Estee Advisors Private Limited (Formerly Estee Fincap Private Limited)

For Estee Advisors Private Limited

Umesh Kumar
Director, DIN: 01111082

Umesh Kumar
Director
DIN: 01111082

Date: 07/09/2022
Place: New Delhi

For Estee Advisors Private Limited

Shalabh Rakyan, Director
DIN: 06404884

Shalabh Rakyan
Director
DIN: 06404884

Date: 07/09/2022
Place: New Delhi