

Complaint Data to be displayed by PM: Formats for investors complaints data to be disclosed monthly by Portfolio Manager (PM) on their website: Data for the month ending – Sep 2024 (Estee Advisors Private Limited) SEBI Reg. No.: INP000007720

| Sr.<br>No | Received from              | Carried<br>Forward<br>from<br>previous<br>Month | Received<br>during<br>the<br>month | Total<br>Pending | Resolved* | Pending at the end if the<br>month** |                                      | Average<br>resolution<br>time (in<br>days)^ |
|-----------|----------------------------|---|------------------------------------|------------------|-----------|--------------------------------------|--------------------------------------|---|
|           |                            |   |                                    |                  |           | Pending for<br>less than 3<br>months | Pending for<br>more than 3<br>months |   |
| 1         | Directly from<br>Investors | 0   | 0                                  | 0                | 0         | 0                                    | 0                                    | 0   |
| 2         | SEBI (Scores)              | 0   | 0                                  | 0                | 0         | 0                                    | 0                                    | 0   |
| 3         | Other Sources<br>(if any)  | 0   | 0                                  | 0                | 0         | 0                                    | 0                                    | 0   |
|           | Grand Total                | 0   | 0                                  | 0                | 0         | 0                                    | 0                                    | 0   |

## Trend of monthly disposal of complaints

| Sr.<br>No | Month          | Carried forward from<br>Previous month | Received | Resolved* | Pending** |
|-----------|----------------|--|----------|-----------|-----------|
| 1         | January 2024   | 0                                      | 0        | 0         | 0         |
| 2         | February 2024  | 0                                      | 0        | 0         | 0         |
| 3         | March 2024     | 0                                      | 0        | 0         | 0         |
| 4         | Apr 2024       | 0                                      | 0        | 0         | 0         |
| 5         | May 2024       | 0                                      | 0        | 0         | 0         |
| 6         | June 2024      | 0                                      | 0        | 0         | 0         |
| 7         | July 2024      | 0                                      | 0        | 0         | 0         |
| 8         | August 2024    | 0                                      | 0        | 0         | 0         |
| 9         | September 2024 | 0                                      | 0        | 0         | 0         |
|           | Grand Total    | 0                                      | 0        | 0         | 0         |

\*Inclusive of complaints of previous months resolved in the current month.

\*\*Inclusive of total complaints pending as on the last day of the month.

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints

| Sr. No | Year        | Carried forward from<br>previous Year | Received during<br>the year | Resolved during<br>the year | Pending at the end of the year |
|--------|-------------|---------------------------------------|-----------------------------|-----------------------------|--------------------------------|
| 1      | 2019-20     | 0                                     | 0                           | 0                           | 0                              |
| 2      | 2020-21     | 0                                     | 0                           | 0                           | 0                              |
| 3      | 2021-22     | 0                                     | 0                           | 0                           | 0                              |
| 4      | 2022-23     | 0                                     | 0                           | 0                           | 0                              |
| 5      | 2023-24     | 0                                     | 0                           | 0                           | 0                              |
| 6      | 2024-25     | 0                                     | 0                           | 0                           | 0                              |
|        | Grand Total | 0                                     | 0                           | 0                           | 0                              |