



**INVESTOR COMPLAINT**  
*(Please state details in CAPITAL letters and submit in duplicate)*

**Date:**

Dear Sir/s,

Please do the needful, in respect of my complaint below:

<b>Name and Address of Investor :</b>	
<b>Name of Investor :</b>	_____
<b>Address :</b>	_____
<b>City :</b>	<b>Pin Code :</b> _____
<b>Tele. No. :</b>	<b>Mobile No. :</b> _____ <b>e-mail id :</b> _____

<b>Types of Complaints</b>
<ul style="list-style-type: none"><li>• Closing off/squaring up position without consent</li><li>• Connectivity/system related problem</li><li>• Delay in delivery</li><li>• Delay in payment</li><li>• Delay in refund</li><li>• Dispute in close out value</li><li>• Excess brokerage</li><li>• Mis-appropriation of client funds/securities</li><li>• Non settlement of accounts</li><li>• Non-execution of order</li><li>• Non-receipt of delivery</li><li>• Non-receipt of account statements</li><li>• Non-receipt of payments</li><li>• Unauthorized trades in client's account</li><li>• Other</li></ul>

<b>Particulars of Complaint : (Please provide maximum details)</b>
Client ID: _____
Name/Number of Share/s _____

Yours faithfully,
<b>Signature of the Investor</b>